



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Dah-Bin Kao Docket No: 15917-11
Serial No: 09/256,265 Group Art Unit 2815
Filing Date: February 23, 1999 Examiner: G. Eckert, II
For: **"METHOD AND APPARATUS FOR SPLIT GATE SOURCE SIDE
INJECTION FLASH MEMORY CELL AND ARRAY WITH DEDICATED
ERASE GATES"**

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

JUL 15 1999

TECHNOLOGY CENTER 2800

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL
ERRONEOUSLY NAMED INVENTOR IN DECLARATION**

Sir:

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on February 23, 1999.

2. Addition and/or Deletion of Inventor(s).

☒ Add the following previously unnamed person(s) as inventor(s) of this application:

Albert T. Wu

Tung-Yi Chan

Loc B. Hoang

3. Attachments

Attached is:

(a) A statement from: (check items below that apply)

☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

(b) A declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, or 1.47). 37 C.F.R. § 1.48(a)(2).

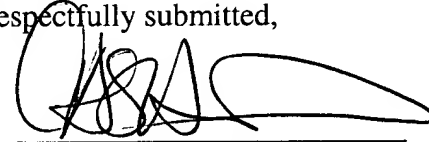
(c) written assent of the assignee (if any of the original inventors executed an assignment) 37 C.F.R. § 1.48(a)(4).

4. Fee Payment (37 C.F.R. § 1.17(i)).

The fee required is paid as follows:

- ☒ Enclosed is a check for \$130.00.
☒ Charge Account No. 02-3964 for any deficiency.
☐ Charge Account No. 02-3964 the sum of \$130.00.

Respectfully submitted,



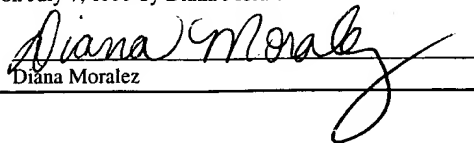
Claude A.S. Hamrick
Reg. No. 22,586

Dated: July 7, 1999

OPPENHEIMER WOLFF & DONNELLY LLP
101 Park Center Plaza, Suite 400
San Jose, CA 95113-2218
Tel: 408-795-3000

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence with all attachments is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 7, 1999 by Diana Moralez.


Diana Moralez



Attorney Docket: 15917-11

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In re Application of: Dah-Bin Kao

Application No.: 09/256,265

Group Art Unit: 2815

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Examiner: G. Eckert, II

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Assistant Commissioner for Patents
Washington, D.C. 20231

**STATEMENT BY INVENTOR
IN SUPPORT OF AMENDMENT, PETITION AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTOR(S) IN
DECLARATION UNDER 37 CFR § 1.48(a)**

I, Loc B. Hoang, hereby state that the inventorship error of failing to include Loc B.
Hoang as an inventor of the above-identified patent application occurred without any deceptive
intention on my part.

Loc B. Hoang
Loc B. Hoang

June 17th, 1999
June 17, 1999

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Attorney Docket: 15917-11

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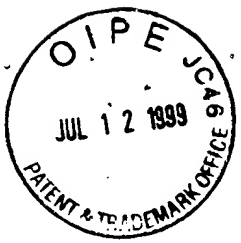
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IN SUPPORT OF AMENDMENT, PETITION AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED INVENTOR(S) IN
DECLARATION UNDER 37 CFR § 1.48(a)**

I, Albert T. Wu, hereby state that the inventorship error of failing to include Albert T. Wu as an inventor of the above-identified patent application occurred without any deceptive intention on my part.

Albert T. Wu
Albert T. Wu

June 17, 1999.
June 17, 1999



Attorney Docket: 15917-11

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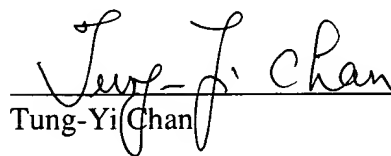
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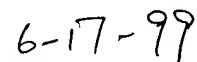
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IN SUPPORT OF AMENDMENT, PETITION AND FEE TO DELETE AND/OR
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DECLARATION UNDER 37 CFR § 1.48(a)**

I, Tung-Yi Chan, hereby state that the inventorship error of failing to include Tung-Yi Chan as an inventor of the above-identified patent application occurred without any deceptive intention on my part.


Tung-Yi Chan


June 17, 1999



SUPPLEMENTAL DECLARATION, POWER OF ATTORNEY AND PETITION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR SPLIT GATE SOURCE SIDE INJECTION FLASH MEMORY CELL AND ARRAY WITH DEDICATED ERASE GATES the specification of which

(check one)

☐ is attached hereto

☒ was filed on February 23, 1999 as Application Serial No. 09/256,265

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) or U.S. provisional application(s) for patent or inventor's certificate listed below and have also identified below any foreign application or U.S. provisional application(s) for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

Prior Foreign/U.S. Provisional Application(s)

			Priority Claimed	
(Number)	(Country)	(Day, month, year filed)	Yes	No
(Number)	(Country)	(Day, month, year filed)	Yes	No
(Number)	(Country)	(Day, month, year filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)
(Application Serial No.)	Filing Date	(Status: Patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint W. POMS, Reg. 18,782; G.P. SMITH, Reg. 20,142; G.E. LANDE, Reg. 22,222; A.C. ROSE, Reg. 17,047; L.J. BOVASSO, Reg. 24,075; D.J. OLDENKAMP, Reg. 29,421; C. DARROW, Reg. 30,166; M.E. HARRIS, Reg. 26,690; K.A. MACLEAN, Reg. 31,118; C. ROSENBERG, Reg. 31,464; M.E. BROWN, Reg. 28,590; E.F. O'CONNOR, Reg. 25,903; R.F. CARR, Reg. 17,110; A. ROTHENBERG, Reg. 17,843; R.L. GAUSEWITZ, Reg. 16,960; M.A. KONZELLA, Reg. 18,013; A.P. BLOCK, Reg. 35,450; S.R. HANSEN, Reg. 38,486; D.N. LARSON, Reg. 29,401; J.W. INSKEEP, Reg. 33,910; G.F. COTTERELL, Reg. 37,802; H.D. JASTRAM, Reg. 19,777; C.J. LERVICK, Reg. 35,244;

C.A.S. HAMRICK, Reg. 22,586; R.O. GUILLOT, Reg. 28,852; J.D. VOELZKE, Reg. 37,957; G.L. FOUNTAIN, Reg. 36,374; J. BOYCE, Reg. 40,920; C. CHOU, Reg. 41,672; M. IMAM, Reg. 38, 190; A.B. Diepenbrock, Reg. 39960; OPPENHEIMER WOLFF & DONNELLY LLP, 101 Park Center Plaza, Suite 400, San Jose, California 95113, (408) 795-3000, as my attorneys with full power of substitution and revocation, to prosecute said application and to transact in connection therewith all business in the Patent and Trademark Office and before competent International Authorities.

Address all telephone calls to Claude A.S. Hamrick at (408) 795-3000 and address all correspondence to:

Claude A.S. Hamrick, Esq.
OPPENHEIMER WOLFF & DONNELLY LLP
 101 Park Center Plaza, Suite 400
 San Jose, California 95113

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full Name of Sole or First Inventor: Dah-Bin Kao

Home Address: 3624 Lupine Ave., Palo Alto, CA 94303

Post Office Address: Same as above

Citizenship: United States

Inventor's Signature:

Dah-Bin Kao

Date: 6/17/1999

Full Name of Second Inventor: Loc B. Hoang

Home Address: 5253 Rooster Drive, San Jose, CA 95136

Post Office Address: Same as above

Citizenship: United States

Inventor's Signature:

Loc B. Hoang

Date: 6/17/1999

Full Name of Third Inventor: Albert T. Wu

Home Address: 3801 Nathan Way, Palo Alto, CA 94303

Post Office Address: Same as above

Citizenship: United States

Inventor's Signature:

Albert T. Wu

Date: 6/17/1999

Full Name of Fourth Inventor: Tung-Yi Chan

Home Address: 1427 Graywood Dr., San Jose, CA 95129

Post Office Address: Same as above

Citizenship: United States

Inventor's Signature: Tung-Yi Chan Date: 6-17-99